

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

01/29/01



Docket No. : 12082.05/JWE
 Inventor(s) : Kyle R. Webb, et al.
 Title : OCULAR FIXATION AND STABILIZATION DEVICE FOR
 OPHTHALMIC SURGICAL APPLICATIONS



Express Mail Label No. : EL599668128US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

Date: January 29, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2. ☒ Applicant claims small entity status under 37 CFR §1.27. A small entity declaration is enclosed.

3. IF A CONTINUING APPLICATION

☐ This application is a [divisional/continuation or continuation-in-part] of patent application No. _____.

☐ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. _____, filed _____ (Docket No. _____).

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. APPLICATION COMPRISED OF

(a) Specification

43 Specification, claims and Abstract (total pages)

(b) Drawings

7 Sheets of drawing(s) (FIGS. 1 to 10)

(c) Declaration and Power of Attorney

☐ Newly executed

☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation and divisional)

☒ An **unsigned** declaration

(i) ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

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5. ☐ **Microfiche Computer Program** (*Appendix*)

6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

☐ Computer Readable Copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

7. **ALSO ENCLOSED ARE**

☐ Preliminary Amendment

☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers

☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers

☐ 37 CFR 3.73(b) Statement (where there is an Assignee)

☐ This application is owned by pursuant to an Assignment recorded at Reel __, Frame __

☐ Information Disclosure Statement (IDS)/PTO-1449

☐ Copies of IDS Citations

☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)

☐ English Translation Document (*if applicable*)

☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).

☐ Other

8. **CORRESPONDENCE ADDRESS**

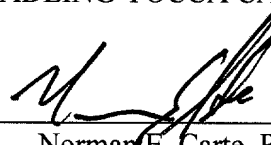
Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**

P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By



Norman E. Carte Reg. No. 30,455 for

John W. Eldredge

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**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: January 29, 2001

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SURGICAL APPLICATIONS

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	40 -20 = 20	20	20 x \$9.00	\$180.00
B	INDEPENDENT CLAIMS	5 - 3 = 2	2	2 x \$40.00	\$ 80.00
C	SUBTOTAL	SMALL ENTITY FEE = LARGE ENTITY FEE =		A + B 2 X (A + B)	\$260.00
D	BASIC FEE	SMALL ENTITY FEE = LARGE ENTITY FEE =		\$355.00 \$710.00	\$355.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = LARGE ENTITY FEE =		\$135.00 \$270.00	
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$615.00

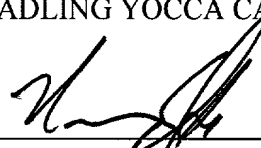
List Independent Claims: 1, 15, 20, 29, 33

METHOD OF PAYMENT

- ☐ Payment Enclosed: Check for \$655.00 (including filing fee of \$615.00 and \$40.00 recordation fee).
- ☐ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**
- ☒ No payment is enclosed.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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